

November 15, 2017

VIA OVERNIGHT MAIL

Debra A. Howland Executive Director and Secretary 21 South Fruit Street, Suite 10 Concord, NH 03301

Re: CS Berlin Ops, Inc. Registration Application to become a Competitive Electric Power Supplier in the State of New Hampshire

Dear. Ms. Howland:

Please find enclosed an original and an electronic version of CS Berlin Ops, Inc.'s registration application as a competitive electric power supplier in New Hampshire. We will send a complete version of the filing to the Office of the Consumer Advocate.

If there are any questions or additional information required regarding CS Berlin Ops, Inc.'s registration application, please contact me at (603) 334-1137 or by email at rdesrosiers@csberlinops.com

Sincerely,

Robert Desrosiers

Executive Vice President, Corporate Operations

CS Berlin Ops, Inc. Registration Application to Become a Competitive Electric Power Supplier in the State of New Hampshire

1.) The legal name of the applicant as well as the trade name, if any, under which it intends to operate in this state:

The Applicant's legal name is CS Berlin Ops, Inc.

2.) The applicant's business address, telephone number, email address, and website address:

One Community Street Berlin, New Hampshire 03570

Telephone: (603) 334-1135 Email: sales@csberlinops.com

3.) The applicant's state or jurisdiction of organization, if anything other than an individual:

CS Berlin Ops, Inc. was incorporated in Delaware on May 19th, 2017. Its Business ID is 770795 and its Federal EIN is 82-1348180.

4.) The name(s), title(s), business address(es), telephone number(s), and email address(es) of the applicant if an individual, or of the applicant's principal(s) if the applicant is anything other than an individual:

See Attachment 1 – Officers & Directors.

- 5.) The following information regarding any affiliates and subsidiaries of the applicant that is conducting business in New Hampshire:
 - a. The name, business address, and telephone number of the entity:
 - b. A description of the business purpose of the entity:
 - c. A description of any agreements with any affiliated New Hampshire utility, and the docket number relative to the filing of such agreements with the commission:

Applicant has several affiliated companies conducting business in New Hampshire. See <u>Attachment 2</u> – Affiliated Companies.

- a. They can all be reached by writing to the specific entity at:
 - One Cate Street, Suite 100
 - Portsmouth, New Hampshire 03801
- b. Cate Street Capital is an investment company.

 The remainder are all associated with the biomass fired generating plant located in Berlin, New Hampshire.
- * The financial security instrument required by Puc 2003.01 (b) (2) is pending.
- ** The application fee is enclosed.

6.) The telephone number of the applicant's customer service department or the name, title, telephone number, and email address of the customer service representative of the applicant, including toll few telephone numbers, if available:

Applicant's customer service telephone number is (603) 334-1136. Customers may also reach Applicant at questions@csberlinops.com.

- 7.) The name, title, business address, telephone number, and email address of each individual responsible for responding to commission inquiries regarding:
 - a. Customer complaints:
 - b. Regulatory compliance matters:
 - c. Commission assessment payments:

Robert Desrosiers

Executive Vice President, Corporate Operations

One Cate Street, Suite 100

Portsmouth, New Hampshire 03801

Telephone Number: (603) 334-1137

Email: rdesrosiers@csberlinops.com

8.) Evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state, if anything other than an individual:

See Attachment 3 - Certificate of Authority.

9.) Evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state:

Not applicable.

10.) Evidence of the applicant's ISO-NE market participant membership;

The Applicant is a new company and its ISO-NE market participant membership is pending. The Berlin Station, LLC power plant in Berlin, New Hampshire will be the generator of electricity and has market participant status in ISO-NE.

11.) Electronic data interchange (EDI) certification from each distribution utility in whose franchise area the applicant intends to operate:

Please see the Certification of Electric Data Interchange testing waiver request.

12.) A list of the utility franchise areas in which the applicant intends to operate and, to the extent the applicant does not intend to provide service in the entire franchise area of a utility, a delineation of the cities and towns where the applicant intends to provide service within such utility franchise area:

Public Service Company of New Hampshire d/b/a Eversource Energy

13.) A statement whether or not the applicant intends to serve the following types of customers:

- a. Residential customers:
- b. Small commercial customers:
- c. Large commercial customers:
- d. Industrial customers:

Applicant intends to serve large commercial and industrial customers at this time.

14.) A list of the other states or jurisdictions in which the applicant currently conducts business relating to the sale of electricity:

None.

15.) A list disclosing the number and type of customer complaints concerning the applicant or its principals and affiliates filed with or by any commission or regulatory agency, attorney general's office, or other government consumer protection regulatory authority, for the most recent 2 calendar years in every state or other jurisdiction in which the applicant has conducted business relating to the sale of electricity:

Applicant is not aware of any customer complaints filed with a state commission/regulatory agency, attorney general's office, or other governmental consumer protection regulatory authority within the most recent two calendar years against Applicant or its principals.

- 16.) A statement as to whether the applicant or any of the applicant's principals:
 - a. Has ever been convicted of any felony that has not been annulled by a court:
 - b. Has, within the 10 years immediately prior to the application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation:
 - c. Has, within the 10 years immediately prior to the application, settled any civil, criminal, or regulatory investigation involving any state or federal consumer protection law or regulation:
 - d. Is currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation:
 - e. Has been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction:

None of the above statements are true regarding the Applicant or its principals.

17.) If an affirmative answer is given to any item in 16 above, a detailed explanation of the occurrence and the related circumstances:

Not applicable.

- 18.) For those applicants intending to telemarket, a statement that the applicant shall:
 - a. Maintain a list of customers who request being placed on the applicant's do-not-call list for the purposes of telemarketing:
 - b. Obtain monthly updated do-not-call lists from the National Do Not Call Registry:

c. Not initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or customers who are listed on the National Do Not Call Registry:

Not applicable.

19.) For those applicants not intending to telemarket, a statement to that effect:

Applicant does not intend to telemarket at this time.

- 20.) For those applicants intending to enroll residential customers through in-person solicitation at the customer's residence, the applicant shall provide:
 - a. A plan for in-person solicitation of residential customers at their residences, including provisions to ensure legal and regulatory compliance and quality assurance:
 - b. A description of the applicant's training program for employees or representatives who will conduct in-person solicitation of residential customers at their residences:
 - c. An identification of any third party vendor or vendors the applicant intends to use to conduct in-person solicitation of residential customers at their residences:
 - d. A copy of the applicant's performance standards and code of conduct for any employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences:
 - e. A copy of field audit standards used to evaluate the performance of employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences:

Not applicable.

21.) For those applicants not intending to enroll residential customers through in-person solicitation at the customer's residence, a statement to that effect:

Applicant does not intend to serve residential customers at this time.

22.) A sample of the bill form(s) the applicant intends to use if the applicant does not intend to use the utility's billing service or a statement that the applicant intends to use the utility's billing service:

Applicant intends to use the utility's billing service.

23.) A copy of each contract to be used for residential customers and for small commercial customers, including any schedules or other documentation attached to, incorporated into, or referenced in such contract:

Not applicable.

24.) The date upon which the applicant expects to commence marketing its services to customers in New Hampshire:

The Applicant expects to commence marketing its services upon approval of this Application.

25.) A statement certifying that the applicant has the authority to file the application on behalf of the CEPS and an attestation that its contents are truthful, accurate, and complete:

See Attachment 4 - Certification Statement

26.) The signature of the applicant or its authorized representative.

Robert Desrosiers

Executive Vice President, Corporate Operations

STATE OF NEW HAMPSHIRE

PUBLIC UTILITIES COMMISSION

CS Berlin Ops, Inc. Registration Application to Become a Competitive Electric Power Supplier in the State of New Hampshire

ATTACHMENT 1

OFFICERS & DIRECTORS

John Hallé

President One Cate Street, Suite 100 Portsmouth, New Hampshire 03801

Telephone: (603) 319-4400 Email: jhalle@cateops.com

Robert Desrosiers

Vice President One Cate Street, Suite 100 Portsmouth, New Hampshire 03801 Telephone: (603) 319-4400

Email: rdesrosiers@cateops.com

Samuel Olson

Other

One Cate Street, Suite 100 Portsmouth, New Hampshire 03801

Telephone: (603) 319-4400 Email: solson@cateops.com

Attachment 2 Affiliated Companies

BBP #1
BBP #2
Berlin Station
Burgess BioPower
Burgess Holding
Cate Street Capital
CS Operations
Newco Energy
Newco Energy Holdings

State of New Hampshire Department of State

CERTIFICATE OF AUTHORITY OF

CS BERLIN OPS, INC.

The Secretary of State of the State of New Hampshire hereby certifies that an Application of **CS BERLIN OPS, INC.** for a Certificate of Authority to transact business in this State, duly signed pursuant to the provisions of the New Hampshire Business Corporation Act, has been received in this office.

ACCORDINGLY the undersigned, by virtue of the authority vested in him by law, hereby issues this Certificate of Authority to CS BERLIN OPS, INC., to transact business in this State under the name of CS BERLIN OPS, INC., and attaches hereto a copy of the Application for such Certificate.

Business ID: 770795





IN TESTIMONY WHEREOF,

I hereto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 19th day of May 2017 A.D.

William M. Gardner Secretary of State

Certification Statement

I, Robert Desrosiers, Executive Vice President, Corporate Operations, of CS Berlin Ops, Inc. declare that I have personally reviewed the statements herein and that they are truthful, accurate, and complete to the best of my knowledge, information, and belief. I further declare that I am authorized by CS Berlin Ops, Inc. to file this application on its behalf.

Dated this 15th day of November, 2017.

Robert Desrosiers

Executive Vice President, Corporate Operations

Subscribed and sworn to before me

This 15th day of November, 2017.

KATELYN A. PAYNE Notary Public, Maine My Commission Expires September 24, 2020